

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2651  
10/13/03  
#14105

Applicants: Brian S. Medower; Ian R. Redmond; David H. Davies

RECEIVED

Assignee: DPHI Acquisitions, Inc.

OCT 02 2003

Title: Optical Data Storage Media With Enhanced Contrast

TC 1700

Application No.: 09/854,333

Filing Date: 05/11/2001

Examiner: Unassigned

Group Art Unit: 2651

RECEIVED

SEP 22 2003

Docket No.: M-9998-1P US

Technology Center 2600

Irvine, California  
September 15, 2003

Mail Stop DD  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.56

10/06/2003 CSIAS1

00000007 502257

09814381

01 FC:1806

180.00 DA

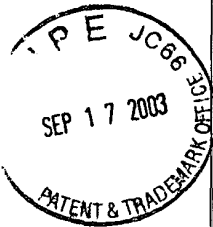
Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56.

*Enclosed with this statement are the following:*

- ☒ Form PTO-1449 (*Substitute*). The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☒ A copy of each cited document as required by 37 C.F.R. §1.98 (*except where otherwise indicated for a specific citation*).

Complete copies are not submitted of U.S. applications per 37 C.F.R. §1.98(a)(2)(iii), and copies are not submitted of documents already cited or submitted in a parent application from which benefit under 35 U.S.C. §120 is claimed per 37 C.F.R. §1.98(d). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevancy is provided pursuant to 37 C.F.R. §1.98(a)(3). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. 57 F.R. 2021 (1/17/92). If a written



English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement. 37 C.F.R. §1.98(c).

*This statement should be considered because:*

☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within 3 months of the application filing date;  
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;  
-- OR --
- (3) It is being filed before the mailing date of a first Office action *on the merits*,  
-- OR --
- (4) It is being filed before the mailing date of a first Office action *after the filing of an RCE*

whichever occurs last.

☐ Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action and before a Notice of Allowance or another action closing prosecution (whichever occurs first)

-- AND (check at least one of the following) --

- ☐ (1) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p)  
-- OR --
- ☐ (2) It is accompanied by a CERTIFICATION as set forth in 37 C.F.R. §1.97(e)

☐ Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is accompanied by a CERTIFICATION as set forth in 37 C.F.R. §1.97(e);  
-- AND --
- (2) It is accompanied by a STATEMENT TO ACCEPT INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(d);  
-- AND --
- (3) It is accompanied by the fee set forth in 37 C.F.R. §1.17(p);  
-- AND --
- (4) The Issue Fee has not been paid prior to the submission.

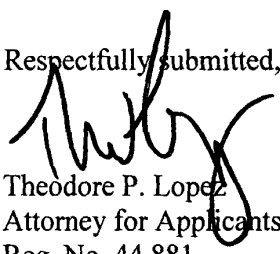
- ☒ **Fee Authorization.** No fees are due for this submission as it has been filed prior to the issuance of a first office action. However, should the Patent Office determine that a fee is due, please charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-2257. A duplicate copy of this authorization is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on September 15, 2003.

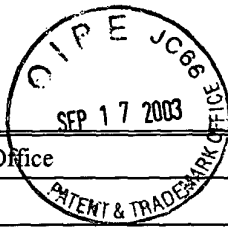
  
Adriane Giberson

9-15-03  
Date of Signature

Respectfully submitted,

  
Theodore P. Lopez  
Attorney for Applicants  
Reg. No. 44,881

LAW OFFICES OF  
MacPherson, Kwok, Chen &  
Held LLP  
1762 Technology Drive  
Suite 226  
San Jose, CA 95110  
Telephone (408) 392-9520  
Fax (408) 392-9262



U.S. Department of Commerce, Patent and Trademark Office	Atty Docket No.	Serial No.
	M-9998-1P US	09/854,333
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Applicant(s)	
(Use several sheets if necessary)	Brian S. Medower et al.	
	Filing Date	Group
	05/11/2001	2651

## U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	4,450,553	05/22/1984	Holster et al.	369	275	
	AB	4,644,515	02/17/1987	Allebest et al.	369	32	
	AC	4,860,278	08/22/1989	Nakahara	369	271	
	AD	5,311,494	05/10/1994	Sugita et al.	369	100	
	AE	5,689,497	11/18/1997	Wilting et al.	369	275.1	
	AF	5,703,868	12/30/1997	Kobayashi et al.	369	286	
	AG	5,764,619	06/09/1998	Nishiuchi et al.	369	275.1	
	AH	6,208,736	03/27/2001	Gotoh et al.	380	203	
	AI	6,210,609	04/03/2001	Takeda et al.	264	1.33	
	AJ	6,221,455	04/24/2001	Yasuda et al.	428	64.1	
	AK	6,228,457	05/08/2001	Ueno et al.	428	64.1	

RECEIVED

SEP 22 2003

Technology Center 2600

RECEIVED

OCT 02 2003

TC 1700

## Foreign Patent Documents

							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AL	EP 0 969 452 -	12/19/1997	EP	G11B	7/00	X	
	AM	JP 10106049 - [w/Abstract]	09/27/1996	Japan	G11B	7/26	X	
	AN	JP 2000268417 - [w/Abstract]	03/18/1999	Japan	G11B	7/26	X	
	AO	JP 2000285517 - [w/Abstract]	03/30/1999	Japan	G11B	07/24	X	
	AP	WO 99/39337 -	01/30/1998	PCT	G11B	7/00	X	

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ	
	AR	
	AS	

Examiner	Date Considered
----------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

SEP 17 2003

Sheet 2 of 2

U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					M-9998-1P US		09/854,333	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicant(s)			
(Use several sheets if necessary)					Brian S. Medower et al.			
					Filing Date		Group	
					05/11/2001		2651	
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	6,312,547	11/06/2001	Fujimori et al.	156	242		
	AB							
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AL	WO 99/45539 ~	03/06/1998	PCT	G11B	19/02	X	
	AM	WO 00/72312 ~	05/20/1999	PCT	G11B	3/70	X	
	AN	WO 00/79526 ~	06/23/1999	PCT	G11B	7/24	X	
	AO	WO 01/18802 ~ [w/Abstract]	09/08/1999	PCT	G11B	7/24	X	
	AP							
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ							
	AR							
	AS							
Examiner			Date Considered					
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								

RECEIVED

SEP 22 2003

Technology Center 2003

RECEIVED

OCT 02 2003

TC 1700